### PATENT COOPERATION TREATY

## **PCT**

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#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

	licant's or agent's file re	ference FOR	FURTHER ACTION	See Form PCT/IPEA/416
Pic	392PC00			
International application No. International filir			ational filing date (day/month/ye	
PC	T/EP2005/000694	21.0	1.2005	21.01.2004
INV		cation (IPC) or national of 19/12 C12N15/62 CC		N15/87 C12N15/86 C12N5/10
		R DE INVESTIGAC	IONES CIENT et al	
1.			y examination report, establi d to the applicant according	shed by this International Preliminary Examining to Article 36.
2.	This REPORT con	sists of a total of 7 sh	eets, including this cover she	eet.
3.	This report is also	accompanied by ANN	EXES, comprising:	
	a. $oxtimes$ sent to the $i$	applicant and to the In	<i>ternational Bureau)</i> a total o	f 4 sheets, as follows:
	and/or s			ave been amended and are the basis of this report Authority (see Rule 70.16 and Section 607 of the
	beyond			hority considers contain an amendment that goes led, as indicated in item 4 of Box No. I and the
	sequence li	sting and/or tables rela		and number of electronic carrier(s)) , containing a m only, as indicated in the Supplemental Box trative Instructions).
4.	This report contain	s indications relating t	o the following items:	
:	⊠ Box No. I E	Basis of the report		
	☐ Box No. II F	Priority		
	☐ Box No. III N	Non-establishment of o	pinion with regard to novelty	, inventive step and industrial applicability
	☐ Box No. IV	ack of unity of inventi	on	
			nder Article 35(2) with regar and explanations supporting	d to novelty, inventive step or industrial such statement
	☐ Box No. VI	Certain documents cite	ed	
	☐ Box No. VII (	Certain defects in the i	nternational application	
	☐ Box No. VIII (	Certain observations o	n the international applicatio	n
Date	e of submission of the d	emand	Date of con	npletion of this report
21.	11.2005		19.06.20	06
Name and mailing address of the international		Authorized	officer Patonia.	
prel	iminary examining auth European Pa D-80298 Mui Tel. +49 89 2 Fax: +49 89	ntent Office nich 2399 - 0 Tx: 523656 epm		D No. +49 89 2399-8995

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/EP2005/000694

	Box No. I Basis of the report			
١.	With regard to the language, this	s report is based on		
		in the language in which it was filed		
	of a translation furnished for international search (und publication of the internat	nal application into , which is the language the purposes of: er Rules 12.3(a) and 23.1(b)) tional application (under Rule 12.4(a)) examination (under Rules 55.2(a) and/or 55.3(a))		
2.	With regard to the <b>elements</b> * of the have been furnished to the receiver report as "originally filed" and are	the international application, this report is based on (replacement sheets which ving Office in response to an invitation under Article 14 are referred to in this a not annexed to this report):		
	Description, Pages			
	1-24	as originally filed		
	Sequence listings part of the description, Pages			
1-17		as originally filed		
	Claims, Numbers			
1-19		received on 30.12.2005 with letter of 21.12.2005		
	Drawings, Sheets			
	1/4-4/4	as originally filed		
	□ a sequence listing and/or an	y related table(s) - see Supplemental Box Relating to Sequence Listing		
3.	☐ The amendments have resu☐ the description, pages☐ the claims, Nos.☐ the drawings, sheets/figs☐ the sequence listing (spe☐ any table(s) related to se	ecify):		
4.	had not been made, since they had not been made, since they had Supplemental Box (Rule 70.2(c))  the description, pages the claims, Nos. the drawings, sheets/figs the sequence listing (special any table(s) related to se	ecify): equence listing <i>(specify)</i> :		
	* If item 4 applies. so	me or all of these sheets may be marked "superseded."		

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-19

No: Claims

Inventive step (IS)

Yes: Claims

1-19

No: Claims

Industrial applicability (IA)

Yes: Claims

1-19

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

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#### Supplemental Box relating to Sequence Listing

Continuation of box i. Reff.	uation of Box I, item 2	Contin	C
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Co	ontii	nua	tion of Box I, item 2:		
1.		th regard to any nucleotide and/or amino acid sequence disclosed in the international application and cessary to the claimed invention, this report was established on the basis of:			
	a. type of material:				
		$\boxtimes$	a sequence listing		
			table(s) related to the sequence listing		
	b. t	form	at of material:		
		$\boxtimes$	on paper		
		$\boxtimes$	in electronic form		
	c. t	ime	of filing/furnishing:		
		$\boxtimes$	contained in the international application as filed		
		$\boxtimes$	filed together with the international application in electronic form		
			furnished subsequently to this Authority for the purposes of search and/or examination		
			received by this Authority as an amendment* on		
2.		the ad	addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating ereto has been filed or furnished, the required statements that the information in the subsequent or ditional copies is identical to that in the application as filed or does not go beyond the application as filed, appropriate, were furnished.		
_	Λ -1	_1:4: _	mal aamamanta.		

- 3. Additional comments:
- If item 4 in Box No. I applies, the listing and/or table(s) related thereto, which form part of the basis of the report, may be marked "superseded."

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#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Reference is made to the following documents:

- D1: HU Y ET AL: "Chimeric infectious bursal disease virus-like particles expressed in insect cells and purified by immobilized metal affinity chromatography" BIOTECHNOLOGY AND BIOENGINEERING. INCLUDING: SYMPOSIUM BIOTECHNOLOGY IN ENERGY PRODUCTION AND CONSERVATION, JOHN WILEY & SONS. NEW YORK, US, vol. 63, no. 6, 20 June 1999 (1999-06-20), pages 721-729, XP002190336 ISSN: 0006-3592
- D2: WO 01/97839 A (RAHAN MERISTEM; STRAM, YEHUDA; ROGEL, ARIE; EDELBAUM, ORIT; SELA, ILAN) 27 December 2001 (2001-12-27)
- D3: FERNÁNDEZ-ARIAS A ET AL: "Expression of ORF A1 of infectious bursal disease virus results in the formation of virus-like particles" JOURNAL OF GENERAL VIROLOGY, SOCIETY FOR GENERAL MICROBIOLOGY, READING, GB, vol. 79, no. part 5, May 1998 (1998-05), pages 1047-1054, XP002218365 ISSN: 0022-1317 cited in the application
- D4: MARTINEZ-TORRECUADRADA J L ET AL: "Different Architectures in the Assembly of Infectious Bursal Disease Virus Capsid Proteins Expressed in Insect Cells" VIROLOGY, ACADEMIC PRESS, ORLANDO, US, vol. 278, no. 2, 20 December 2000 (2000-12-20), pages 322-331, XP004435746 ISSN: 0042-6822 cited in the application
- D5: MARTINEZ-TORRECUADRADA J L ET AL: "Structure-dependent efficacy of infectious bursal disease virus (IBDV) recombinant vaccines" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 21, no. 23, 4 July 2003 (2003-07-04), pages 3342-3350, XP004429746 ISSN: 0264-410X
- D6: US-A-5 788 970 (VAKHARIA ET AL) 4 August 1998 (1998-08-04) cited in the application

The document D1 (Hu et al.) discloses the production of chimeric virus-like particles (VLP's) of IBDV by co-infection of SF9 insect cells with two different recombinant baculoviruses that express, respectively, the whole polyprotein of IBDV, and a Histidine-tagged version of VP2. The resulting chimeric virus-like particles composed of

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

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VP2, VP2H and VP3 are purified by IMAC (see abstract, p. 721-2). The VLPs of D1 are constituted by VP2, VP2H and VP3 and not pVP2 as in the present application.

D2 discloses transgenic plants and bacteria (E. coli) comprising empty particles of the Infectious Bursal Disease Virus (IBDV) which are used as a vaccine for immunizing an avian for protection against IBDV. In one embodiment, the empty particles of the IBDV comprise one or more of virion protein 2 (VP2), virion protein 3 (VP3), and/or virion protein 4 (VP4) (see claims and p. 5-6).

D3 discloses the expression the IBDV VP3, VP2 and VP4 proteins in cells infected with a recombinant vaccinia virus expressing the IBDV polyprotein.

D4 discloses IBDV capsids obtained by expressing the complete IBDV polyprotein in two different baculovirus expression vectors. The VLPs of D4 comprise VP4, VP3 and pVP2.

D5 describes the immunogenicity of VP2, pVP2 and PP particles obtained from cells infected with recombinant baculoviruses encoding IBDV PP, pVP2 and VP2 proteins. The VLPs of D5 comprise VP4, VP3 and pVP2.

D6 discloses a chimeric polypeptide immunogen comprising the VP2 amino acid sequence from a infectious bursal disease virus (IBDV) strain and an epitopic determinant from a 2nd IBDV strain. Virus-Like Particles or three-dimensional particles of natural or recombinant amino acid sequences mimicking the three-dimensional structure of IBDV (encoded by the large genome segment A) but lacking viral RNA are also constructed. Virus-like particles exhibit conformational epitopes exhibited by native viruses of similar sequence. Virus-like particles are created by the proper expression of DNA encoding VP2, VP4, VP3 structural proteins in a proper ORF.

The subject-matter of claims 1-19 has not been made available to the public by any of the available prior art documents and can therefore be regarded as novel (Article 33(2) PCT).

The subject-matter of claims 1-19 cannot be derived from the available prior art in an obvious manner and therefore complies with the requirements of Article 33(3) PCT.

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#### **CLAIMS**

- 1. An empty capsid of the infectious bursal disease virus (IBDV), VLP(-VP4), characterized in that it is constituted by assembly of only IBDV pVP2 proteins and IBDV VP3 proteins.
- 2. A nucleic acid characterized in that its nucleotide sequence is constituted by (i) a nucleotide sequence consisting of the open reading frame corresponding to the IBDV pVP2 protein and (ii) a nucleotide sequence consisting of the open reading frame corresponding to the IBDV VP3 protein.

3. A gene construct comprising a nucleic acid according to claim 2.

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4. An expression system selected from:

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i;...

- an expression system consisting of (i) a gene construct consisting of the open reading frame corresponding to the IBDV pVP2 protein, operatively bound to transcription, and optionally translation, control elements, and (ii) a gene construct consisting of the open reading frame corresponding to the IBDV VP3 protein, operatively bound to transcription, and optionally translation, control elements; and
- b) an expression system consisting of a gene construct according to claim 3, operatively bound to transcription, and optionally translation, control elements.
- 5. An expression system according to claim 4, said expression system being selected from plasmids, bacmids, yeast artificial chromosomes (YACs), bacteria artificial chromosomes (BACs), bacteriophage P1-based artificial chromosomes (PACs), cosmids, and viruses, which, optionally, contain a heterologous replication origin.

- 6. A host cell containing a nucleic acid according to claim 2, or a gene construct according to claim 3, or an expression system according to anyone of claims 4 or 5.
- 7. A host cell that is transformed, transfected or infected with an expression system according to anyone of claims 4 or 5.
  - 8. Host cell according to anyone of claims 6 or 7, said cell being an insect cell or a yeast.
- 9. A process for the production of empty capsids of the infectious bursal disease virus (IBDV), VLPs(-VP4), according to claim 1, comprising culturing a host cell according to anyone of claims 6 to 8, and if so desired, recovering said empty IBDV capsids.
- 10. Process according to claim 9, wherein said host cell is an insect cell, comprising the steps of:
  - a) preparing an expression system selected from:
- an expression system constituted by a recombinant baculovirus containing a gene construct according to claim 3, operatively bound to transcription, and optionally translation, control elements; and
- an expression system constituted by (i) a recombinant baculovirus

  containing a gene construct comprising the open reading frame

  corresponding to the IBDV pVP2 protein, and (ii) a recombinant

  baculovirus containing a gene construct comprising the open reading

  frame corresponding to the IBDV VP3 protein;
- b) infecting insect cells with said expression system prepared in step a);

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- c) culturing the infected insect cells obtained in step b) under conditions allowing the expression of recombinant proteins and their assembly for forming empty IBDV capsids, VLPs(-VP4); and
- d) if so desired, isolating and optionally purifying said IBDV empty capsids, VLPs(-VP4).
  - 11. Process according to claim 9, wherein said host cell is a yeast, comprising the steps of:
    - a) preparing an expression system constituted by a plasmid containing a gene construct according to claim 3;
    - b) transforming yeast cells with said expression system prepared in step a);
    - c) culturing the transformed yeasts obtained in step b) under conditions allowing the expression of recombinant proteins and their assembly to form empty IBDV capsids, VLPs(-VP4); and
- d) if so desired, isolating and optionally purifying the empty IBDV capsids, VLPs(-VP4).
  - 12. The use of a gene expression system according to anyone of claims 4 or 5 for producing and obtaining empty IBDV capsids, VLPs(-VP4), according to claim 1.
  - 13. The use of empty capsids of the infectious bursal disease virus (IBDV), VLPs(-VP4), according to claim 1 in the manufacture of a medicament.
- 14. Use according to claim 13, wherein said medicament is a vaccine against the avian disease called infectious bursal disease.
  - 15. Use according to claim 13, wherein said medicament is a gene therapy vector.

16. A vaccine comprising a therapeutically effective amount of empty IBDV capsids, VLPs(-VP4), according to claim 1, optionally together with one or more pharmaceutically acceptable adjuvants and/or vehicles.

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- 17. Vaccine according to claim 16 to protect birds from the infection caused by the infectious bursal disease virus (IBDV).
- 18. Vaccine according to claim 17, wherein said birds are selected from the group formed by chickens, turkeys, geese, ganders, pheasants, quails and ostriches.
  - 19. Vaccine to protect chickens from the infection caused by the infectious bursal disease virus (IBDV) comprising a therapeutically effective amount of empty IBDV capsids, VLPs(-VP4), according to claim 1, optionally together with one or more pharmaceutically acceptable adjuvants and/or vehicles.